

EXHIBIT A

1
2 IN THE UNITED STATES DISTRICT COURT
3 FOR THE NORTHERN DISTRICT OF GEORGIA
4 ATLANTA DIVISION
5 Civil Action File No. 1:10-CV-03108-JOF

- - - - -x

6 TASER INTERNATIONAL, INC., et al.,

7 Plaintiffs,

8 -against-

9 MORGAN STANLEY & CO., INC., et al.,

10 Defendants.

- - - - -x

11 November 11, 2010

12 2:14 p.m.

13
14 Videotaped Deposition of PETER MELZ,
15 taken by attorneys for Plaintiffs, pursuant to
16 agreement, held at the offices of O'Melveny &
17 Myers LLP, Seven Times Square, New York, New
18 York, before Helen Mitchell, a Shorthand
19 Reporter and Notary Public.
20
21
22
23
24
25

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1 Melz

2 Q -- and there's a place -- 14:49:56

3 A Yes. 14:49:59

4 Q -- where you can check each state. 14:49:59

5 A Okay. 14:50:01

6 Q Is it your belief that the Form U4 14:50:01

7 you completed, or that the company completed on 14:50:03

8 your behalf in 2009, indicated registration in 14:50:05

9 all 50 states, including Georgia? 14:50:08

10 A I believe so. 14:50:09

11 Q The U4 is submitted to FINRA; is 14:50:47

12 that your understanding? 14:50:49

13 A I believe so. FINRA as our SRO or 14:50:54

14 to the SEC, I'm not entirely certain. 14:51:00

15 Q Isn't it true that you 14:51:03

16 registered -- you personally registered to do 14:51:04

17 business in all 50 states because you want and 14:51:07

18 need to be registered in all 50 states, 14:51:08

19 including Georgia, to do your job on behalf of 14:51:11

20 Merrill Pro? 14:51:13

21 A I was advised by our compliance 14:51:14

22 area and by our compliance group that that was 14:51:16

23 the case, yes. 14:51:20

24 Q Would it affect your ability to 14:51:24

25 perform your duties on behalf of Merrill Pro if 14:51:25

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